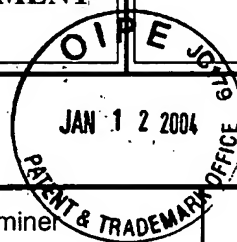


**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
112300-1541

In Re Application Of: **Anthony J. Baerl cher**



Serial No.  
10/662,496

Filing Date  
September 15, 2003

Examiner  
Unknown

Group Art Unit  
3713

Title: **GAMING DEVICE HAVING RELATED MULTI-GAME BONUS SCHEME**

Address to:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

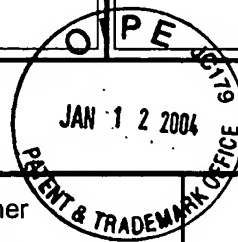
**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
112300-1541

In Re Application: **Anthony J. Baerlocher**



Serial No.

10/662,496

Filing Date

September 15, 2003

Examiner

Unknown

Group Art Unit

3713

**GAMING DEVICE HAVING RELATED MULTI-GAME BONUS SCHEME**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **02-1818** as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F:

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I certify that this document and fee is being deposited on **Jan. 9, 2004** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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\*This certificate may only be used if paying by deposit account.

*Adam H. Masia*

Signature

Dated: **January 9, 2004**

**Adam H. Masia**

**Reg. No. 35,602**

**BELL, BOYD & LLOYD LLC**

**P.O. Box 1135**

**Chicago, IL 60690-1135**

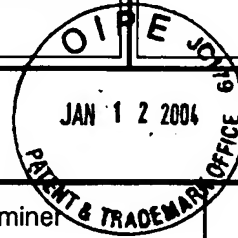
**(312) 807-4284**

CC:

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
112300-1541

In Re Application Of: **Anthony J. Baerlocher**



Serial No.  
**10/662,496**

Filing Date  
**September 15, 2003**

Examiner  
**Unknown**

Group Art Unit  
**3713**

Title: **GAMING DEVICE HAVING RELATED MULTI-GAME BONUS SCHEME**

Address to:  
**Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**

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**37 CFR 1.97(c)**

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- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Anthony J. Baerlocher  
Appl. No.: 10/662,496  
Conf. No.: 9205  
Filed: September 15, 2003  
Title: GAMING DEVICE HAVING RELATED MULTI-GAME BONUS SCHEME  
Art Unit: 3713  
Examiner: Unknown  
Docket No.: 112300-1541

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicant requests that a citation and examination of the references cited below, and on the attached PTO-1449 form, copies of which can be found enclosed herewith or in the parent, application no. 09/688,972, filed on October 16, 2000, be made during the course of examination of the above-identified application for United States patent.

**U.S. Patents**

<u>Patent No.</u>	<u>Issue Date</u>	<u>Inventor(s)</u>
4,448,419	May 15, 1984	Telnaes
4,582,324	April 15, 1986	Koza et al.
4,624,459	November 11, 1986	Kaufman
4,695,053	September 22, 1987	Vazquez, Jr. et al.
5,342,047	August 30, 1994	Heidel, et al.
5,456,465	October 10, 1995	Durham
5,524,888	June 11, 1996	Heidel
5,542,669	August 6, 1996	Charron, et al.

<u>Patent No.</u>	<u>Issue Date</u>	<u>Inventor(s)</u>
5,775,692	July 7, 1998	Watts et al.
5,833,537	November 10, 1998	Barrie
5,931,467	August 3, 1999	Kamille
5,951,397	September 14, 1999	Dickinson
5,967,894	October 19, 1999	Kimoshita et al.
5,980,384	November 9, 1999	Barrie
5,997,401	December 7, 1999	Crawford
6,012,982	January 11, 2000	Piechowiak et al.
6,015,346	January 18, 2000	Bennett
6,059,289	May 9, 2000	Vancura
6,062,980	May 16, 2000	Luciana
6,089,976	July 18, 2000	Schneider et al.
6,102,798	August 15, 2000	Bennett
6,126,542	October 3, 2000	Fier
6,142,874	November 7, 2000	Kadachi
6,142,875	November 7, 2000	Kodachi et al.
6,155,925	December 5, 2000	Giobbi, et al.
6,159,095	December 12, 2000	Frohm, et al.
6,159,096	December 12, 2000	Yoseloff
6,159,097	December 12, 2000	Gura
6,159,098	December 12, 2000	Slomiany et al.
6,174,233	January 16, 2001	Sunaga et al.
6,174,235 B1	January 16, 2001	Walker et al.

<u>Patent No.</u>	<u>Issue Date</u>	<u>Inventor(s)</u>
6,190,255 B1	February 20, 2001	Thomas et al.
6,203,429	May 20, 2001	Demar, et al.
6,210,279	April 3, 2001	Dickinson
6,224,483	May 1, 2001	Mayeroff
6,231,442	May 15, 2001	Mayeroff
6,231,445	May 15, 2001	Acres
6,234,897	May 22, 2001	Frohm et al.
6,238,287 B1	May 29, 2001	Komori et al.
6,261,177	July 17, 2001	Bennett
6,309,300	October 30, 2001	Glavich
6,312,334 B1	November 6, 2001	Yoseloff
6,315,664	November 13, 2001	Baerlocher et al.
6,346,043	March 18, 1999	Thomas et al.
6,375,187	April 23, 2002	Baerlocher
6,394,902 B1	May 28, 2002	Glavich et al.
6,398,218 B1	June 4, 2002	Vancura
6,413,160 B1	July 2, 2002	Vancura
6,413,161 B1	July 2, 2002	Baerlocher, et al.
6,413,162 B1	July 2, 2002	Baerlocher et al.
6,491,584 B1 or B2	December 10, 2002	Graham, et al.
6,514,141	February 4, 2003	Kaminkow et al.
6,547,242 B1	April 15, 2003	Sugiyama et al.
6,607,437 B2	August 19, 2003	Casey et al.

<u>Patent No.</u>	<u>Issue Date</u>	<u>Inventor(s)</u>
6,607,438 B2	August 19, 2003	Baerlocher et al.
US 2002/0025844	February 28, 2002	Casey et al.

### **Foreign Patents and Published Patent Applications**

<u>Patent/Application No.</u>	<u>Issued/Published</u>	<u>Inventor(s)</u>
GB 2144644 A	March 13, 1985	Great Britain
EP 0874337 A1	October 28, 1998	EPO
EP 0945837 A2	September 29, 1999	EPO
EP 0981119 A2	February 23, 2000	EPO
EP 0984409 A2	March 8, 2000	EPO
WO 00/12186	March 9, 2000	PCT

### **Publications**

<u>Title</u>	<u>Source</u>	<u>Published</u>
Fortune Cookie Brochure	IGT	2000
Pyramid Article	Strictly Slots	April 2001
Richard Petty Brochure (and Description)	IGT	2000, Undated
Odyssey Article	Silicon Gaming	2001
Draw Poker "Held" Message	IGT	Undated
Dick Clark Brochure and Article (and Description)	IGT	2000, Undated
Star Spangled Keno Gaming Machine Description	IGT	Undated
Jackport Party Brochures and Articles	WMS Gaming, Inc.	1998, 2001

Pink Panther	IGT	2000
Lifestyles of the Rich and Famous	IGT	2003

Applicants look forward to early and favorable consideration of this matter.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

BY

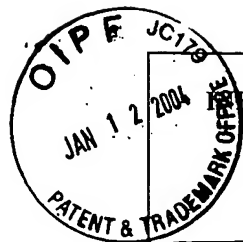


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Phone: (312) 807-4284

Dated: January 9, 2004





**INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION**  
(Use several sheets if necessary)

PTO Form 1449

Atty Docket No. 112300-1541	Application No. 10/662,496
Applicant Anthony J. Baerlocher	
Filing Date Sept. 15, 2003	Group 3713

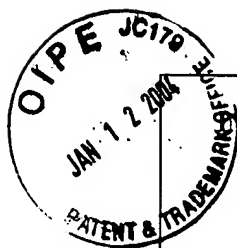
**U.S. PATENT DOCUMENTS**

Examiner's Initials	Document Number	Publication Date	Inventor	Class	Subclass	Filing Date If Appropriate
	4,448,419	05/15/84	Telnaes			
	4,582,324	04/15/86	Koza et al.			
	4,624,459	11/25/86	Kaufman			
	4,695,053	09/22/87	Vazquez, Jr., et al.			
	5,342,047	08/30/94	Heidel, et al.			
	5,456,465	10/10/95	Durham			
	5,524,888	06/11/96	Heidel			
	5,542,669	08/06/96	Charron, et al.			
	5,775,692	07/07/98	Watts, et al.			
	5,833,537	11/10/98	Barrie			
	5,931,467	08/03/99	Kamille			
	5,951,397	09/14/99	Dickinson			
	5,967,894	10/19/99	Kimoshita, et al.			
	5,980,384	10/19/99	Barrie			
	5,997,401	12/07/99	Crawford			
	6,012,982	01/11/00	Piechowiak et al.			
	6,015,346	01/18/00	Bennett			
	6,059,289	05/09/00	Vancura			
	6,062,980	05/16/00	Luciano			
	6,102,798	08/15/00	Bennett			
	6,126,542	10/03/00	Fier			
	6,142,875	11/07/00	Kodachi			
	6,155,925	12/05/00	Giobbi, et al.			
	6,159,095	12/12/00	Frohman, et al.			
	6,159,096	12/12/00	Yoseloff			
	6,159,097	12/12/00	Gura			
	6,159,098	12/12/00	Slomiany, et al.			
	6,174,233 B1	01/16/01	Sunaga, et al.			
	6,174,235	01/16/01	Walker			
	6,190,255	01/16/01	Thomas et al.			
	6,203,429 B1	05/20/01	Demar, et al.			

**Examiner:**

**Date Considered:**

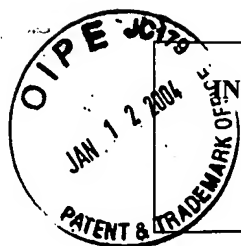
\*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



<b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b> (Use several sheets if necessary)  PTO Form 1449	Atty Docket No. 112300-1541	Application No. 10/662,496
	Applicant Anthony J. Baerlocher	
	Filing Date Sept. 15, 2003	Group 3713

U.S. PATENT DOCUMENTS							
Examiner's Initials		Document Number	Publication Date	Inventor	Class	Subclass	Filing Date If Appropriate
		6,210,279	04/03/01	Dickinson			
		6,224,483 B1	05/01/01	Mayeroff			
		6,231,442 B1	05/15/01	Mayeroff			
		6,231,445 B1	05/15/01	Acres			
		6,234,897 B1	05/22/01	Frohman, et al.			
		6,238,287 B1	05/29/01	Komori, et al.			
		6,261,177	07/17/01	Bennett			
		6,309,300	10/30/01	Glavich			
		6,312,334 B1	11/06/01	Yoseloff			
		6,315,664 B1	11/13/01	Baerlocher, et al.			
		6,346,043	03/18/99	Thomas et al.			
		6,375,187	04/23/02	Baerlocher			
		6,394,902 B1	05/28/02	Glavich, et al.			
		6,398,218 B1	06/04/02	Vancura			
		6,413,160 B1	07/02/02	Vancura			
		6,413,161 B1	07/02/02	Baerlocher, et al.			
		6,413,162 B1	07/02/02	Baerlocher, et al.			
		6,491,584 B1 or B2	12/10/02	Graham, et al.			
		6,514,141	02/04/03	Kaminkow, et al.			
		6,547,242 B1	04/15/03	Sugiyama, et al.			
		6,607,437 B2	08/19/03	Casey et al.			
		6,607,438 B2	08/19/03	Baerlocher, et al.			
		2002/0025844	02/28/02	Casey et al.			

Examiner:	Date Considered:
*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	



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Atty Docket No. 112300-1541	Application No. 10/662,496
Applicant Anthony J. Baerlocher	
Filing Date Sept. 15, 2003	Group 3713

FOREIGN PATENT DOCUMENTS								
Examiner's Initials		Document	Publication	Country	Class	Subclass	Translation	
		Number	Date				Yes	No
		GB2144644 A	03/13/85	Great Britain				
		EP0874337 A1	10/28/98	EPO				
		EP0945837 A2	03/18/99	EPO				
		EP0981119 A2	02/23/00	EPO				
		EP0984409 A2	03/08/00	EPO				
		WO00/12186	03/09/00	PCT				

Examiner's Initials	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)
	Fortune Cookie Brochure, written by IGT, published in 2000.
	Pyramid Article, written by Strictly Slots, published April, 2001.
	Richard Petty Brochure (and description), written by IGT, published in 2000, undated.
	Odyssey Article, written by Silicon Gaming, published in 2001.
	Draw Poker "Held" Message, written by IGT, undated.
	Dick Clark Brochure and Article (and description), written by IGT, published in 2000, undated.
	Star Spangled Keno Gaming Machine Description, written by IGT, undated.
	Jackpot Party Brochures and Articles, written by WMS Gaming Inc., published in 1998 and 2001.
	Pink Panther, written by IGT, published in 2000..
	Lifestyles of the Rich and Famous, written by IGT, published in 2003.

<b>Examiner:</b>	<b>Date Considered:</b>
*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	